



REGISTRATION: POLITICAL ACTION COMMITTEE

A political action committee must register with the Commission within 7 days of making expenditures to initiate or influence a campaign or election, including unpaid obligations, that total more than:

- \$1,500 for an organization whose major purpose is to influence candidate or ballot question elections, or
- \$5,000 for organizations which participate in candidate elections but whose major purpose is something other than influencing candidate elections.

Registration is not complete until the following additional documents have been submitted:

- Initial Campaign Finance Report. All contributions received, whether cash or in-kind, and all expenditures made from the beginning of the calendar year must be reported. Be sure to include any expenditures associated with the collection of signatures, paid staff time, travel reimbursement, and fundraising.
- Acknowledgement of Responsibilities. The Treasurer, Principal Officer and any Decision-Maker(s) must sign and return the statement within 10 days of the date of this registration.

Is this an amendment? Yes No

ALL SECTIONS OF THIS FORM MUST BE COMPLETED.

COMMITTEE INFORMATION

COMMITTEE NAME	ACRONYM
Portland	
MAILING ADDRESS	PHONE
PO Box 5200	
CITY, STATE, ZIP CODE	FAX
Portland, ME 04101	
EMAIL	
portland@gmail.com	
ALTERNATE EMAIL 1	
ALTERNATE EMAIL 2	
WEBSITE ADDRESS	

TREASURER INFORMATION

FIRST NAME	MIDDLE NAME	LAST NAME
		Vrana
MAILING ADDRESS	PHONE	
PO Box 5200		
CITY, STATE, ZIP CODE	ALTERNATE PHONE	
Portland, ME 04101		
EMAIL	FAX	
(check all that apply) <input type="checkbox"/> Legislator <input type="checkbox"/> Candidate		

PRINCIPAL OFFICER INFORMATION

FIRST NAME	MIDDLE NAME	LAST NAME
Anna	Richards	Waxman
MAILING ADDRESS	PHONE	
Devens Avenue		
CITY, STATE, ZIP CODE	ALTERNATE PHONE	
Portland, ME 04102		
EMAIL	FAX	
(check all that apply) <input type="checkbox"/> Legislator <input type="checkbox"/> Candidate		

DESIGNATED FILING AGENT(S) (OPTIONAL)

FIRST NAME MIDDLE NAME LAST NAME
EMAIL PHONE

PRIMARY FUNDRAISERS & DECISION-MAKERS

Identify the primary fundraisers and decision-makers for the committee and whether they are also a Legislator or candidate. (Use additional sheets as necessary.)

FIRST NAME MIDDLE NAME LAST NAME
EMAIL

ROLE (check all that apply) Fundraiser Decision-Maker Legislator Candidate

FIRST NAME MIDDLE NAME LAST NAME
EMAIL

ROLE (check all that apply) Fundraiser Decision-Maker Legislator Candidate

FORM OF ORGANIZATION

Name the form or structure of organization (i.e., cooperative, corporation, voluntary association, partnership, etc.)

FORM OF ORGANIZATION DATE OF ORIGIN OR INCORPORATION
PAC

FOUNDING ORGANIZATIONS

Was this committee formed by one or more for-profit or non-profit corporations or organizations? (Use additional sheets as necessary.)

IF YES, NAME OF CORPORATION OR ORGANIZATION

Yes ADDRESS

No CITY, STATE, ZIP CODE

PHONE

COMMITTEE ACCOUNT INFORMATION

NAME ON ACCOUNT
Unite Portland

NAME OF FINANCIAL INSTITUTION MAILING ADDRESS (STREET, CITY, STATE, ZIP CODE)
Bangor Savings Bank 20 Marginal Way, Portland, ME 04101

STATEMENT OF SUPPORT OR OPPOSITION

Indicate the specific candidate(s) or category of candidate(s), ballot question(s), referenda, initiated petition(s), people's vetoes, or other campaign(s) the committee supports or opposes. If the committee is formed to influence the election of a single candidate, the name of the candidate must be listed. (Use additional sheets as necessary.)

Strimling for Mayor

Support

Oppose

Support

Oppose

SIGNATURE OF PRINCIPAL OFFICER OR TREASURER

The Principal Officer, Treasurer and any Decision-Maker(s) must submit a signed Acknowledgement of Responsibilities.

NAME OF SIGNER TITLE
Dory - Ann Richards Waxman Principal Officer

SIGNATURE DATE
Dory - Ann Richards Waxman August 19, 2019

IMPORTANT NOTICE:

An initial campaign finance report must be filed with the Commission at the time of registration.



ACKNOWLEDGMENT OF RESPONSIBILITIES — PRINCIPAL OFFICER

I, Dorcy-Ann Richards Waxman, acknowledge that I am the principal officer
(Printed Name)
of Unite Portland, a political action committee registered with
(Name of Committee)
the Commission on Governmental Ethics and Election Practices (the "Commission"). I acknowledge that, as the principal officer of the committee:

- (1) I am jointly responsible with the treasurer for ensuring that the committee complies with the requirements of Maine Election Law (21-A M.R.S.A., chapter 13) applicable to the committee. These requirements include but are not limited to:
 - filing complete and accurate reports as required by the Commission;
 - ensuring that the treasurer of the committee keeps all required records of contributions, expenditures and bank statements for the committee's campaign account; and
 - updating the committee's registration information within 10 days of any change, including the resignation or removal of the treasurer or a decision-maker and filing an updated registration with the Commission by March 1st of every year in which there is a general election.
- (2) I am jointly and severally liable with the treasurer and the committee for any penalties assessed against the committee for violations of Maine Election Law (21-A M.R.S.A., chapters 13 and 14).
- (3) I am deemed to have participated in any spending decisions of the committee until the Commission has received notice of my resignation or involuntary removal from the committee.
- (4) I am responsible for notifying the Commission and the committee's treasurer in writing if I resign from the position of principal officer and that my resignation will not be effective until the Commission receives such notice.
- (5) The committee is responsible for notifying the Commission if the treasurer or a decision-maker is involuntarily removed from the committee.

I have read this acknowledgment and understand my responsibilities and liabilities as principal officer.

Signature: Dorcy-Ann Richards Waxman

Date: August 19, 2019

Failure to submit the Acknowledgement of Responsibilities may result in a fine of \$100.



ACKNOWLEDGMENT OF RESPONSIBILITIES — TREASURER

I, (print name) Elliot Thomas Vrana, acknowledge that I am the treasurer of Unite Portland

a political action committee registered with the Commission on Governmental Ethics and Election Practices (the "Commission"). I acknowledge that, as the treasurer of the committee:

(1) I am jointly responsible with the principal officer for ensuring that the committee complies with the requirements of Maine Election Law (21-A M.R.S., chapter 13) applicable to the committee. These requirements include but are not limited to:

- filing complete and accurate reports as required by the Commission;
- keeping all required records of contributions, expenditures and bank statements for the committee's campaign account; and
- updating the committee's registration information within 10 days of any change, including the resignation or removal of the principal officer or a decision-maker and filing an updated registration with the Commission by March 1st of every year in which there is a general election.

(2) I am jointly and severally liable with the principal officer and the committee for any penalties assessed against the committee for violations of Maine Election Law (21-A M.R.S., chapters 13 and 14).

(3) I am deemed to have participated in any spending decisions of the committee until the Commission has received notice of my resignation or involuntary removal from the committee.

(4) I am responsible for notifying the Commission and the committee's principal officer in writing if I resign from the position of treasurer and that my resignation will not be effective until the Commission receives such notice.

(5) The committee is responsible for notifying the Commission if the principal officer or a decision-maker is involuntarily removed from the committee.

I have read this acknowledgment and understand my responsibilities and liabilities as treasurer.

Signature

08/15/2019

Date



2019 CAMPAIGN FINANCE REPORT

FOR POLITICAL ACTION COMMITTEES AND BALLOT QUESTION COMMITTEES

Please complete ALL entries.

NAME OF COMMITTEE	UNITE PORTLAND			<input type="checkbox"/> CHECK IF CHANGED FROM PREVIOUS REPORT
STREET	PO BOX 5200			
CITY AND ZIP CODE	PORTLAND 04101	TELEPHONE NUMBER	(207) 332-4634	
E-MAIL	n/a			
NAME OF TREASURER	ELLIOT VRANA			<input type="checkbox"/> CHECK IF CHANGED FROM PREVIOUS REPORT
MAILING ADDRESS STREET	PO BOX 8778			
CITY AND ZIP CODE	PORTLAND 04104	TELEPHONE NUMBER	(207) 441-1433	
E-MAIL	elliott.vrana@gmail.com			

<u>Type of Report</u>	<u>Due Date</u>	<u>Dates of Report Period</u>
<input checked="" type="checkbox"/> Initial	Date of Registration	January 1, 2019 — date of registration
<input type="checkbox"/> April Quarterly	April 10, 2019	January 1, 2019 — March 31, 2019
<input type="checkbox"/> July Quarterly	July 15, 2019	April 1, 2019 — June 30, 2019
<input type="checkbox"/> October Quarterly	October 7, 2018	July 1, 2019 — September 30, 2019
<input type="checkbox"/> January Quarterly	January 15, 2020	October 1, 2019 — December 31, 2019
<input type="checkbox"/> Amendment to: _____		
<input type="checkbox"/> No Activity Report: Use only if the committee had no contributions and no expenditures and did not incur any unpaid debts or obligations during the reporting period. Check the appropriate report above as well.		
<input type="checkbox"/> Termination Report: If the committee will have no further activity. Check the appropriate report above as well.		

I CERTIFY THAT I HAVE EXAMINED THIS REPORT AND TO THE BEST OF MY KNOWLEDGE IT IS TRUE, CORRECT, AND COMPLETE.

08/19/2019

Treasurer's Signature

Date

SCHEDULE A CASH CONTRIBUTIONS

- For contributors who gave more than \$50, the committee must report the contributor's name, address, occupation, and employer.
- If employment information has been requested from the contributor and the contributor has not provided it, indicate "information requested" for the occupation and employer.
- For cash contributions totaling \$50 or less, please enter "unitemized contributions" as the contributor and the total amount and the appropriate key code on a line on this page. Once a contributor has given the committee more than \$50 in a report period, you must list that contributor separately.

DATE RECEIVED	CONTRIBUTOR'S NAME, ADDRESS, ZIP	OCCUPATION AND EMPLOYER	TYPE (use key code)	AMOUNT
Total cash contributions (this page only) ⇒ (combined totals from all Schedule A pages must be listed on Schedule F)				\$0

Key Codes:

- | | |
|--------------------------------|---------------------------------------|
| 1 = Individuals | 7 = Ballot Question Committee |
| 3 = Commercial Source | 9 = Candidate/Candidate Committees |
| 4 = Non Profit Organization | 10 = General Treasury Transfer |
| 5 = Political Action Committee | 12 = Contributors giving \$50 or Less |
| 6 = Political Party Committee | 16 = Financial Institution |

**SCHEDULE A-1
IN-KIND CONTRIBUTIONS**

- In-kind contributions are goods and services (including facilities) that a committee received at no cost or at a cost less than the fair market value. They include all goods and services purchased for the committee by others if the committee does not expect to reimburse the person who made the purchase.
- For contributors who gave more than \$50, the committee must report the contributor's name, address, occupation, and employer.
- If employment information has been requested from the contributor and the contributor has not provided it, indicate "information requested" for the occupation and employer.
- For contributions totaling \$50 or less, please enter "unitemized contributions" as the contributor and the total amount and the appropriate key code on a line on this page. Once a contributor has given the committee more than \$50 in a report period, you must list that contributor separately.

DATE RECEIVED	CONTRIBUTOR'S NAME, ADDRESS, ZIP	OCCUPATION AND EMPLOYER	DESCRIPTION (of goods, services, facilities, or discounts received)	TYPE (use key code)	VALUE (estimated fair market value)
Total in-kind contributions (this page only) ⇒ (combined totals from all Schedule A-1 pages must be listed on Schedule F)					\$0

Key Codes:

- | | |
|--------------------------------|---------------------------------------|
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| 6 = Political Party Committee | 16 = Financial Institution |

**SCHEDULE B
EXPENDITURES TO SUPPORT OR OPPOSE**

- Enter all expenditures, including cash contributions from this committee, made to support or oppose a candidate, political action committee, ballot question committee, or party committee.
- Enter all expenditures made to support or oppose a ballot question, referendum, or citizen initiative, including expenditures made in the signature-gathering phase.
- Enter the name of the candidate, ballot question, or committee supported or opposed by the expenditure and indicate whether the expenditure was made in support or opposition.

If a single expenditure is made to support or oppose multiple candidates, committees, or ballot questions, the expenditure must be itemized by the amount spent per candidate, committee, or ballot question, not as a single expenditure, and each candidate, committee, or ballot question must be identified.

EXPENDITURE TYPES

CON	Contribution to candidate, party or committee	POL	Polling and survey research
CNS	Campaign consultants	POS	Postage for U.S. Mail and mail box fees
EQP	Equipment (office machines, furniture, cell phones)	PRO	Professional services
FND	Fundraising events	PRT	Print media ads only (newspapers, magazines, etc.)
FOD	Food for campaign events, volunteers	RAD	Radio ads, production costs
LIT	Printing and graphics (flyers, signs, palmcards, t-shirts, etc.)	SAL	Campaign workers' salaries and personnel costs
MHS	Mail house (all services purchased)	TRV	Travel (fuel, mileage, lodging, etc.)
OFF	Office rent, utilities, phone and internet services, supplies	TVN	TV or cable ads, production costs
OTH	Other	WEB	Website design, registration, hosting, maintenance, etc.)
PHO	Phone banks, automated telephone calls		

! REMARKS REQUIRED ON ALL EXPENDITURE TYPES!

Date:	Payee Name and Address:	Amount
Type:	Remarks (Required):	
<input type="checkbox"/> Support <input type="checkbox"/> Oppose	Candidate Name/Ballot Question:	
Date:	Payee Name and Address:	Amount
Type:	Remarks (Required):	
<input type="checkbox"/> Support <input type="checkbox"/> Oppose	Candidate Name/Ballot Question:	
Total expenditures this page only =>		\$0
(combined totals from all Schedule B pages must be listed on Schedule F)		

**SCHEDULE B - 1
OPERATING EXPENSES**

List all operational expenditures made to a single payee or creditor that were made during this reporting period. Multiple expenditures for bank fees and vehicle travel may be aggregated and listed as a lump sum provided that the time period of the expenditure be identified in the remark section.

EXPENDITURE TYPES				
CON	Contribution to candidate, party or committee	POL	Polling and survey research	
CNS	Campaign consultants	POS	Postage for U.S. Mail and mail box fees	
EQP	Equipment (office machines, furniture, cell phones)	PRO	Professional services	
FND	Fundraising events	PRT	Print media ads only (newspapers, magazines, etc.)	
FOD	Food for campaign events, volunteers	RAD	Radio ads, production costs	
LIT	Printing and graphics (flyers, signs, palmcards, t-shirts, etc.)	SAL	Campaign workers' salaries and personnel costs	
MHS	Mail house (all services purchased)	TRV	Travel (fuel, mileage, lodging, etc.)	
OFF	Office rent, utilities, phone and internet services, supplies	TVN	TV or cable ads, production costs	
OTH	Other	WEB	Website design, registration, hosting, maintenance, etc.)	
PHO	Phone banks, automated telephone calls			
! REMARKS REQUIRED FOR ALL EXPENDITURE TYPES !				
DATE	PAYEE NAME & ADDRESS	TYPE	REMARKS (REQUIRED)	AMOUNT
Total expenditures (this page only) => (combined totals from all Schedule B-1 pages must be listed on Schedule F)				\$0

SCHEDULE C LOANS AND REPAYMENTS

List all new and continuing loans that were unpaid at any time during this reporting period. If a loan amount is forgiven, the amount forgiven **must** also be entered as a contribution on Schedule A.

	COLUMN 1	COLUMN 2	COLUMN 3	COLUMN 4	COLUMN 5
LENDER'S NAME AND ADDRESS	LOAN BALANCE AT BEGINNING OF PERIOD	ACTIVITY THIS PERIOD (report amount and date)			LOAN BALANCE AT END OF PERIOD (1+2) - 3 - 4
		AMOUNT LOANED THIS PERIOD	AMOUNT REPAYD THIS PERIOD	AMOUNT FORGIVEN THIS PERIOD (Enter on Schedule A also)	
		DATE AMOUNT	DATE AMOUNT	DATE AMOUNT	
		DATE AMOUNT	DATE AMOUNT	DATE AMOUNT	
		DATE AMOUNT	DATE AMOUNT	DATE AMOUNT	
		DATE AMOUNT	DATE AMOUNT	DATE AMOUNT	
		DATE AMOUNT	DATE AMOUNT	DATE AMOUNT	
Totals for each column ⇒		Enter on Schedule F, Line 3 \$0	Enter on Schedule F, Line 7 \$0	\$0	Enter on Schedule F, Line 14 \$0

**SCHEDULE D
UNPAID DEBTS AND OBLIGATIONS**

- A debt or obligation is incurred if a committee places an order for a good or service without making a payment; makes a promise or agreement to pay for a good or service; signs a contract for a good or service; or receives delivery of a good or service for which the committee has not paid.
- This schedule is a list of all unpaid debts and obligations of the committee as of the end of this reporting period. When the obligation has been paid, the expenditure (i.e., the actual payment) must be reported on Schedule B or B-1.
- If the committee has not received a bill for goods or services, contact the vendor to obtain the amount owed. If it is impossible to verify the amount of the debt, enter an estimated amount and indicate that the amount is estimated in the purpose section.
- **If obligations from a previous campaign finance report still remain unpaid, you will need to continue to report them on this schedule until they have been paid in full.**

DATE OF OBLIGATION	CREDITOR'S NAME AND ADDRESS	PURPOSE	AMOUNT
Total unpaid debts and obligations (this page only) ⇒ (combined totals from all Schedule D pages must be listed on Schedule F)			\$0

PAC/BQC Name: UNITE PORTLAND

**SCHEDULE F
SUMMARY SCHEDULE**

CASH ACTIVITY

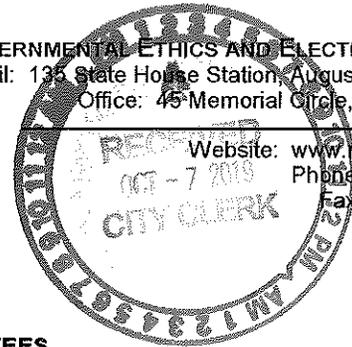
Receipts	Total for this Period
1. Cash Contributions (Schedule A)	\$0
2. Other Cash Receipts (interest, etc.)	\$0
3. Loans (Schedule C)	\$0
4. Total Receipts (lines 1 + 2 + 3)	\$0
Expenditures	Total for this Period
5. Expenditures to Support or Oppose (Schedule B)	\$0
6. Operating Expenditures (Schedule B-1)	\$0
7. Loan Repayment (Schedule C)	\$0
8. Total Payments (lines 5 + 6 + 7)	\$0

CASH SUMMARY

	Total for This Period
9. Cash Balance at Beginning of Period	\$0
10. Plus Total Receipts This Period (line 4 above)	\$0
11. Minus Total Payments This Period (line 8 above)	\$0
12. Cash Balance at End of Period	\$0

OTHER ACTIVITY

	Total for This Period
13. In-Kind Contributions (Schedule A-1)	\$0
14. Total Loan Balance at End of Period (Schedule C)	\$0
15. Total Unpaid Debts at End of Period (Schedule D)	\$0



2019 CAMPAIGN FINANCE REPORT

FOR POLITICAL ACTION COMMITTEES AND BALLOT QUESTION COMMITTEES

Please complete ALL entries.

NAME OF COMMITTEE	UNITE PORTLAND			<input type="checkbox"/> CHECK IF CHANGED FROM PREVIOUS REPORT
STREET	PO BOX 5200			
CITY AND ZIP CODE	PORTLAND 04101	TELEPHONE NUMBER	(207) 332-4634	
E-MAIL	N/A			
NAME OF TREASURER	ELLIOT VRANA			<input type="checkbox"/> CHECK IF CHANGED FROM PREVIOUS REPORT
MAILING ADDRESS STREET	PO BOX 8778			
CITY AND ZIP CODE	PORTLAND 04104	TELEPHONE NUMBER	(207) 441-1433	
E-MAIL	elliott.vrana@gmail.com			

Type of Report	Due Date	Dates of Report Period
<input type="checkbox"/> Initial	Date of Registration	January 1, 2019 — date of registration
<input type="checkbox"/> April Quarterly	April 10, 2019	January 1, 2019 — March 31, 2019
<input type="checkbox"/> July Quarterly	July 15, 2019	April 1, 2019 — June 30, 2019
<input checked="" type="checkbox"/> October Quarterly	October 7, 2018	July 1, 2019 — September 30, 2019
<input type="checkbox"/> January Quarterly	January 15, 2020	October 1, 2019 — December 31, 2019
<input type="checkbox"/> Amendment to: _____		
<input type="checkbox"/> No Activity Report: Use only if the committee had no contributions and no expenditures and did not incur any unpaid debts or obligations during the reporting period. Check the appropriate report above as well.		
<input type="checkbox"/> Termination Report: If the committee will have no further activity. Check the appropriate report above as well.		

I CERTIFY THAT I HAVE EXAMINED THIS REPORT AND TO THE BEST OF MY KNOWLEDGE IT IS TRUE, CORRECT, AND COMPLETE.

Handwritten signature: B. Vrana

10/07/2019

Treasurer's Signature

Date

**SCHEDULE A
CASH CONTRIBUTIONS**

- For contributors who gave more than \$50, the committee must report the contributor's name, address, occupation, and employer.
- If employment information has been requested from the contributor and the contributor has not provided it, indicate "information requested" for the occupation and employer.
- For cash contributions totaling \$50 or less, please enter "unitemized contributions" as the contributor and the total amount and the appropriate key code on a line on this page. Once a contributor has given the committee more than \$50 in a report period, you must list that contributor separately.

DATE RECEIVED	CONTRIBUTOR'S NAME, ADDRESS, ZIP	OCCUPATION AND EMPLOYER	TYPE (use key code)	AMOUNT
08/20/2019	TOM WATSON AND CO 188 STATE ST STE 303 PORTLAND, ME 04101	PORT PROPERTY MANAGEMENT	1	\$5,000
08/20/2019	JAMES & JULIA BRADY PO BOX 7486 PORTLAND, ME 04112	REAL ESTATE MANAGEMENT BRADY ENTERPRISES, INC.	1	5,000
08/20/2019	JED TROUBH 35 PADDOCK WAY FALMOUTH, ME 04105	SELF EMPLOYED REAL ESTATE DEVELOPMENT	1	250
08/20/2019	MICHAEL PIZZO & MARIA TRIVELLIN 88 CHRISTY RD PORTLAND, ME 04103	RETIRED	1	250
08/20/2019	HAROLD PACHIOS 882 SHORE RD CAPE ELIZABETH, ME 04107	LAWYER PRETI FLAHERTY	1	100
09/06/2019	DANIEL & CONNIE HALEY 140 EASTERN PROMENADE PORTLAND, ME 04101	RETIRED	1	250
Total cash contributions (this page only) => (combined totals from all Schedule A pages must be listed on Schedule F)				\$10,850

Key Codes:

- | | |
|--------------------------------|---------------------------------------|
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| 4 = Non Profit Organization | 10 = General Treasury Transfer |
| 5 = Political Action Committee | 12 = Contributors giving \$50 or Less |
| 6 = Political Party Committee | 16 = Financial Institution |

**SCHEDULE A (continued)
CASH CONTRIBUTIONS**

DATE RECEIVED	CONTRIBUTOR'S NAME, ADDRESS, ZIP	OCCUPATION AND EMPLOYER	TYPE (use key code)	AMOUNT
09/06/2019	CYRUS HAGGE 225 COMMERCIAL ST STE 502 PORTLAND, ME 04101	SELF REAL ESTATE	1	\$2,500
09/26/2019	MARY COSTIGAN 86 CHENERY ST PORTLAND, ME 04103	LAWYER BERNSTEIN SHUR	1	250
09/26/2019	ISA & JAMES RATHBAND PO BOX 17851 PORTLAND, ME 04112	REAL ESTATE BROKER KELLER WILLIAMS REALTY	1	150
09/26/2019	JOAN MORTAY & MICHAEL BRENNAN 49 WELLINGTON RD PORTLAND, ME 04103	STATE OF MAINE STATE LEGISLATOR	1	100
09/26/2019	RACHAEL ALFOND 143 VAUGHAN ST PORTLAND, ME 04102	N/A N/A	1	5000
09/26/2019	THOMAS & CATHERINE WALLACE 68 NEAL ST PORTLAND, ME 04102	SELF-EMPLOYED FREELANCE WRITER	1	100
Total cash contributions (this page only) =>				\$8,100
(combined totals from all Schedule A pages must be listed on Schedule F)				

Key Codes:

- | | |
|--------------------------------|---------------------------------------|
| 1 = Individuals | 7 = Ballot Question Committee |
| 3 = Commercial Source | 9 = Candidate/Candidate Committees |
| 4 = Non Profit Organization | 10 = General Treasury Transfer |
| 5 = Political Action Committee | 12 = Contributors giving \$50 or Less |
| 6 = Political Party Committee | 16 = Financial Institution |

**SCHEDULE B - 1
OPERATING EXPENSES**

List all operational expenditures made to a single payee or creditor that were made during this reporting period. Multiple expenditures for bank fees and vehicle travel may be aggregated and listed as a lump sum provided that the time period of the expenditure be identified in the remark section.

EXPENDITURE TYPES				
CON	Contribution to candidate, party or committee	POL	Polling and survey research	
CNS	Campaign consultants	POS	Postage for U.S. Mail and mail box fees	
EQP	Equipment (office machines, furniture, cell phones)	PRO	Professional services	
FND	Fundraising events	PRT	Print media ads only (newspapers, magazines, etc.)	
FOD	Food for campaign events, volunteers	RAD	Radio ads, production costs	
LIT	Printing and graphics (flyers, signs, palmcards, t-shirts, etc.)	SAL	Campaign workers' salaries and personnel costs	
MHS	Mail house (all services purchased)	TRV	Travel (fuel, mileage, lodging, etc.)	
OFF	Office rent, utilities, phone and internet services, supplies	TVN	TV or cable ads, production costs	
OTH	Other	WEB	Website design, registration, hosting, maintenance, etc.)	
PHO	Phone banks, automated telephone calls			
! REMARKS REQUIRED FOR ALL EXPENDITURE TYPES !				
DATE	PAYEE NAME & ADDRESS	TYPE	REMARKS (REQUIRED)	AMOUNT
09/01/ 2019	THE TCV GROUP, LLC 201 US ROUTE ONE #131 SCARBOROUGH, ME 04074	CNS	CAMPAIGN CONSULTING AND MEDIA PRODUCTION	\$10,000
09/26/ 2019	THE TCV GROUP, LLC 201 US ROUTE ONE #131 SCARBOROUGH, ME 04074	CNS	DIGITAL ADVERTISING	4,664
Total expenditures (this page only) =>				\$14,664
(combined totals from all Schedule B-1 pages must be listed on Schedule F)				

**SCHEDULE F
SUMMARY SCHEDULE**

CASH ACTIVITY

Receipts	Total for this Period
1. Cash Contributions (Schedule A)	\$18,950
2. Other Cash Receipts (interest, etc.)	-
3. Loans (Schedule C)	-
4. Total Receipts (lines 1 + 2 + 3)	\$18,950
Expenditures	Total for this Period
5. Expenditures to Support or Oppose (Schedule B)	\$ -
6. Operating Expenditures (Schedule B-1)	14,664
7. Loan Repayment (Schedule C)	-
8. Total Payments (lines 5 + 6 + 7)	\$14,664

CASH SUMMARY

	Total for This Period
9. Cash Balance at Beginning of Period	\$ -
10. Plus Total Receipts This Period (line 4 above)	18,950
11. Minus Total Payments This Period (line 8 above)	(14,664)
12. Cash Balance at End of Period	4,286

OTHER ACTIVITY

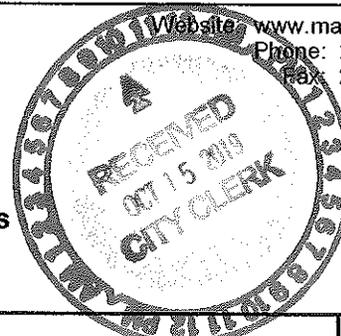
	Total for This Period
13. In-Kind Contributions (Schedule A-1)	\$ -
14. Total Loan Balance at End of Period (Schedule C)	-
15. Total Unpaid Debts at End of Period (Schedule D)	-



COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

Mail: 135 State House Station, Augusta, Maine 04333

Office: 45 Memorial Circle, Augusta, Maine



Website: www.maine.gov/ethics

Phone: 207-287-4179

Fax: 207-287-6775

2019 CAMPAIGN FINANCE REPORT

FOR POLITICAL ACTION COMMITTEES AND BALLOT QUESTION COMMITTEES

Please complete ALL entries.

NAME OF COMMITTEE	UNITE PORTLAND			<input type="checkbox"/> CHECK IF CHANGED FROM PREVIOUS REPORT
STREET	PO BOX 5200			
CITY AND ZIP CODE	PORTLAND 04101	TELEPHONE NUMBER	(207) 332-4634	
E-MAIL	N/A			
NAME OF TREASURER	ELLIOT VRANA			<input type="checkbox"/> CHECK IF CHANGED FROM PREVIOUS REPORT
MAILING ADDRESS STREET	PO BOX 8778			
CITY AND ZIP CODE	PORTLAND 04104	TELEPHONE NUMBER	(207) 441-1433	
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<input type="checkbox"/> October Quarterly	October 7, 2018	July 1, 2019 — September 30, 2019
<input type="checkbox"/> January Quarterly	January 15, 2020	October 1, 2019 — December 31, 2019
<input checked="" type="checkbox"/> Amendment to:	<u>OCTOBER QUARTERLY</u>	
<input type="checkbox"/> No Activity Report: Use only if the committee had no contributions and no expenditures and did not incur any unpaid debts or obligations during the reporting period. Check the appropriate report above as well.		
<input type="checkbox"/> Termination Report: If the committee will have no further activity. Check the appropriate report above as well.		

I CERTIFY THAT I HAVE EXAMINED THIS REPORT AND TO THE BEST OF MY KNOWLEDGE IT IS TRUE, CORRECT, AND COMPLETE.

10/15/2019

Treasurer's Signature

Date

**SCHEDULE A
CASH CONTRIBUTIONS**

- For contributors who gave more than \$50, the committee must report the contributor's name, address, occupation, and employer.
- If employment information has been requested from the contributor and the contributor has not provided it, indicate "information requested" for the occupation and employer.
- For cash contributions totaling \$50 or less, please enter "unitemized contributions" as the contributor and the total amount and the appropriate key code on a line on this page. Once a contributor has given the committee more than \$50 in a report period, you must list that contributor separately.

DATE RECEIVED	CONTRIBUTOR'S NAME, ADDRESS, ZIP	OCCUPATION AND EMPLOYER	TYPE (use key code)	AMOUNT
08/20/2019	TOM WATSON AND CO 188 STATE ST STE 303 PORTLAND, ME 04101	PORT PROPERTY MANAGEMENT	3	\$5,000
08/20/2019	JAMES BRADY PO BOX 7486 PORTLAND, ME 04112	REAL ESTATE MANAGEMENT BRADY ENTERPRISES, INC.	1	5,000
08/20/2019	JED TROUBH 35 PADDOCK WAY FALMOUTH, ME 04105	SELF EMPLOYED REAL ESTATE DEVELOPMENT	1	250
08/20/2019	MICHAEL PIZZO 88 CHRISTY RD PORTLAND, ME 04103	RETIRED	1	250
08/20/2019	HAROLD PACHIOS 882 SHORE RD CAPE ELIZABETH, ME 04107	LAWYER PRETI FLAHERTY	1	100
09/06/2019	DANIEL HALEY 140 EASTERN PROMENADE PORTLAND, ME 04101	RETIRED	1	250
Total cash contributions (this page only) => (combined totals from all Schedule A pages must be listed on Schedule F)				\$10,850

Key Codes:

- | | |
|--------------------------------|---------------------------------------|
| 1 = Individuals | 7 = Ballot Question Committee |
| 3 = Commercial Source | 9 = Candidate/Candidate Committees |
| 4 = Non Profit Organization | 10 = General Treasury Transfer |
| 5 = Political Action Committee | 12 = Contributors giving \$50 or Less |
| 6 = Political Party Committee | 16 = Financial Institution |

**SCHEDULE A (continued)
CASH CONTRIBUTIONS**

DATE RECEIVED	CONTRIBUTOR'S NAME, ADDRESS, ZIP	OCCUPATION AND EMPLOYER	TYPE (use key code)	AMOUNT
09/06/2019	CYRUS HAGGE 225 COMMERCIAL ST STE 502 PORTLAND, ME 04101	SELF REAL ESTATE	1	\$2,500
09/26/2019	MARY COSTIGAN 86 CHENERY ST PORTLAND, ME 04103	LAWYER BERNSTEIN SHUR	1	250
09/26/2019	JAMES RATHBAND PO BOX 17851 PORTLAND, ME 04112	REAL ESTATE BROKER KELLER WILLIAMS REALTY	1	150
09/26/2019	MICHAEL BRENNAN 49 WELLINGTON RD PORTLAND, ME 04103	STATE OF MAINE STATE LEGISLATOR	1	100
09/26/2019	RACHAEL ALFOND 143 VAUGHAN ST PORTLAND, ME 04102	HOMEMAKER SELF	1	5000
09/26/2019	THOMAS WALLACE 68 NEAL ST PORTLAND, ME 04102	SELF-EMPLOYED FREELANCE WRITER	1	100
Total cash contributions (this page only) =>				\$8,100
(combined totals from all Schedule A pages must be listed on Schedule F)				

Key Codes:

- | | |
|--------------------------------|---------------------------------------|
| 1 = Individuals | 7 = Ballot Question Committee |
| 3 = Commercial Source | 9 = Candidate/Candidate Committees |
| 4 = Non Profit Organization | 10 = General Treasury Transfer |
| 5 = Political Action Committee | 12 = Contributors giving \$50 or Less |
| 6 = Political Party Committee | 16 = Financial Institution |

**SCHEDULE A-1
IN-KIND CONTRIBUTIONS**

- In-kind contributions are goods and services (including facilities) that a committee received at no cost or at a cost less than the fair market value. They include all goods and services purchased for the committee by others if the committee does not expect to reimburse the person who made the purchase.
- For contributors who gave more than \$50, the committee must report the contributor's name, address, occupation, and employer.
- If employment information has been requested from the contributor and the contributor has not provided it, indicate "information requested" for the occupation and employer.
- For contributions totaling \$50 or less, please enter "unitemized contributions" as the contributor and the total amount and the appropriate key code on a line on this page. Once a contributor has given the committee more than \$50 in a report period, you must list that contributor separately.

DATE RECEIVED	CONTRIBUTOR'S NAME, ADDRESS, ZIP	OCCUPATION AND EMPLOYER	DESCRIPTION (of goods, services, facilities, or discounts received)	TYPE (use key code)	VALUE (estimated fair market value)
09/01/2019	JUSTIN ALFOND 143 VAUGHAN ST PORTLAND, ME 04102	ENTREPRENEUR SELF	POST OFFICE BOX RENTAL FEE	1	\$61.00
Total in-kind contributions (this page only) => (combined totals from all Schedule A-1 pages must be listed on Schedule F)					\$61.00

Key Codes:

- | | |
|--------------------------------|---------------------------------------|
| 1 = Individuals | 7 = Ballot Question Committee |
| 3 = Commercial Source | 9 = Candidate/Candidate Committees |
| 4 = Non Profit Organization | 10 = General Treasury Transfer |
| 5 = Political Action Committee | 12 = Contributors giving \$50 or Less |
| 6 = Political Party Committee | 16 = Financial Institution |

**SCHEDULE B
EXPENDITURES TO SUPPORT OR OPPOSE**

- Enter all expenditures, including cash contributions from this committee, made to support or oppose a candidate, political action committee, ballot question committee, or party committee.
- Enter all expenditures made to support or oppose a ballot question, referendum, or citizen initiative, including expenditures made in the signature-gathering phase.
- Enter the name of the candidate, ballot question, or committee supported or opposed by the expenditure and indicate whether the expenditure was made in support or opposition.

If a single expenditure is made to support or oppose multiple candidates, committees, or ballot questions, the expenditure must be itemized by the amount spent per candidate, committee, or ballot question, not as a single expenditure, and each candidate, committee, or ballot question must be identified.

EXPENDITURE TYPES			
CON	Contribution to candidate, party or committee	POL	Polling and survey research
CNS	Campaign consultants	POS	Postage for U.S. Mail and mail box fees
EQP	Equipment (office machines, furniture, cell phones)	PRO	Professional services
FND	Fundraising events	PRT	Print media ads only (newspapers, magazines, etc.)
FOD	Food for campaign events, volunteers	RAD	Radio ads, production costs
LIT	Printing and graphics (flyers, signs, palmcards, t-shirts, etc.)	SAL	Campaign workers' salaries and personnel costs
MHS	Mail house (all services purchased)	TRV	Travel (fuel, mileage, lodging, etc.)
OFF	Office rent, utilities, phone and internet services, supplies	TVN	TV or cable ads, production costs
OTH	Other	WEB	Website design, registration, hosting, maintenance, etc.)
PHO	Phone banks, automated telephone calls		

! REMARKS REQUIRED ON ALL EXPENDITURE TYPES!

Date: 09/01/2019	Payee Name and Address: THE TCV GROUP, LLC 201 US ROUTE ONE #131 SCARBOROUGH, ME 04074	Amount
Type: WEB	Remarks (Required): VIDEO PRODUCTION, WEBSITE DEVELOPMENT	\$5,979.84
<input type="checkbox"/> Support <input checked="" type="checkbox"/> Oppose	Candidate Name/Ballot Question: ETHAN STRIMLING FOR MAYOR	
Date: 09/01/2019	Payee Name and Address: THE TCV GROUP, LLC 201 US ROUTE ONE #131 SCARBOROUGH, ME 04074	Amount
Type: CNS	Remarks (Required): CAMPAIGN CONSULTING	4,000.00
<input type="checkbox"/> Support <input checked="" type="checkbox"/> Oppose	Candidate Name/Ballot Question: ETHAN STRIMLING FOR MAYOR	
Total expenditures this page only => (combined totals from all Schedule B pages must be listed on Schedule F)		\$9,979.84

**SCHEDULE B (continued)
EXPENDITURES TO SUPPORT OR OPPOSE**

Date: 09/01/2019	Payee Name and Address: THE TCV GROUP, LLC 201 US ROUTE ONE #131 SCARBOROUGH, ME 04074	Amount \$20.16
Type: WEB	Remarks (Required): DOMAIN NAME REGISTRATION - GODADDY	
<input type="checkbox"/> Support <input checked="" type="checkbox"/> Oppose	Candidate Name/Ballot Question: ETHAN STRIMLING FOR MAYOR	
Date: 09/26/2019	Payee Name and Address: THE TCV GROUP, LLC 201 US ROUTE ONE #131 SCARBOROUGH, ME 04074	Amount 4,664.00
Type: WEB	Remarks (Required): FACEBOOK ADVERTISING	
<input type="checkbox"/> Support <input checked="" type="checkbox"/> Oppose	Candidate Name/Ballot Question: ETHAN STRIMLING FOR MAYOR	
Date:	Payee Name and Address:	Amount
Type:	Remarks (Required):	
<input type="checkbox"/> Support <input type="checkbox"/> Oppose	Candidate Name/Ballot Question:	
Date:	Payee Name and Address:	Amount
Type:	Remarks (Required):	
<input type="checkbox"/> Support <input type="checkbox"/> Oppose	Candidate Name/Ballot Question:	
Total expenditures this page only => (combined totals from all Schedule B pages must be listed on Schedule F)		\$4,684.16

**SCHEDULE F
SUMMARY SCHEDULE**

CASH ACTIVITY

Receipts	Total for this Period
1. Cash Contributions (Schedule A)	\$18,950
2. Other Cash Receipts (interest, etc.)	-
3. Loans (Schedule C)	-
4. Total Receipts (lines 1 + 2 + 3)	\$18,950
Expenditures	Total for this Period
5. Expenditures to Support or Oppose (Schedule B)	\$ 14,664
6. Operating Expenditures (Schedule B-1)	-
7. Loan Repayment (Schedule C)	-
8. Total Payments (lines 5 + 6 + 7)	\$14,664

CASH SUMMARY

	Total for This Period
9. Cash Balance at Beginning of Period	\$ -
10. Plus Total Receipts This Period (line 4 above)	18,950
11. Minus Total Payments This Period (line 8 above)	(14,664)
12. Cash Balance at End of Period	4,286

OTHER ACTIVITY

	Total for This Period
13. In-Kind Contributions (Schedule A-1)	\$ 61
14. Total Loan Balance at End of Period (Schedule C)	-
15. Total Unpaid Debts at End of Period (Schedule D)	-

INDEPENDENT EXPENDITURE REPORT – NOVEMBER 5, 2019 MUNICIPAL ELECTION

Name of Person/Committee Making Expenditure(s): UNITE PORTLAND

Mailing Address: PO BOX 5200

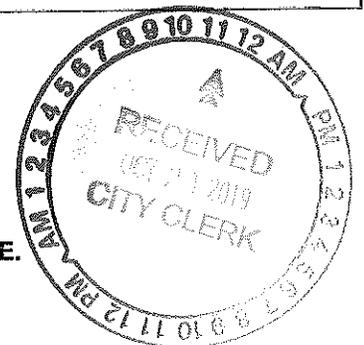
City, State, Zip Code: PORTLAND MAINE 04101 Telephone: _____

Please check the appropriate box for the report you are filing and complete the notarized affidavit and attached schedules. Reports must be filed on Saturday or Sunday. If that is when they are due, please contact the municipal clerk's officer for the procedure for filing by fax or e-mail. The municipal clerk must receive the signed original report within 5 days after the preliminary report was filed.

Check here if this report is an amendment to a previously filed report. Date of original report: _____

Independent Expenditures of more than \$250 per candidate must reported according to the schedule below.

Report	Expenditure Made	Report Due Date
<input type="checkbox"/> 60-Day Pre-Election Report	On or before September 5, 2019	September 6, 2019
<input checked="" type="checkbox"/> 2-Day Report	Between September 6 - October 22, 2019	Within 2 calendar days of making the expenditure
<input type="checkbox"/> 1-Day Report	Between October 23 - November 5, 2019	Within 1 calendar day of making the expenditure



I CERTIFY THAT THE INFORMATION IN THIS REPORT IS TRUE, CORRECT AND COMPLETE.

Dory - Ann Richards Waxman
 Signature of PAC or Party Treasurer, or
 Other Authorized Person Making Expenditure(s)

Oct 22, 2019
 Date

Dory - Ann Richards Waxman

INDEPENDENT EXPENDITURE REPORT – 2019 MUNICIPAL ELECTION

AFFIDAVIT

STATE OF Maine

COUNTY OF Cumberland

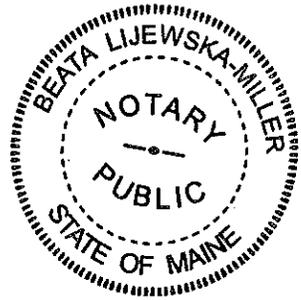
I, Dory-Ann Richards Waxman, being duly sworn, attest that I made each of the expenditures listed in the attached report independently, and not in cooperation, consultation, or concert with, or at the request or suggestion of, any candidate, authorized committee or agent of a candidate in a race affected by any expenditure listed in this report.

Dory-Ann Richards Waxman
Signature of Affiant

Sworn to before me, this 22nd day of October 2019

[Signature]
(Notary Public/Attorney at Law)

My commission expires: August 1st 2021



**Schedule B-IE-1
CANDIDATE(S) SUPPORTED/OPPOSED**

- Please list all candidates that were the subjects of independent expenditures.
- If more than one candidate was the subject of the expenditure, allocate the expenditure among the candidates.

Office sought by candidate (including district # or county)	Candidate's Name	Indicate whether expenditure was made in support of or in opposition to the candidate	Amount expended this reporting period for each candidate
MAYOR	ETHAN STRIMLING	OPPOSITION	\$4664.00
<p align="center">Total expenditures for all candidates this reporting period. <i>This amount should equal the total independent expenditures listed on Schedule B-IE-2, Line C. ⇒</i></p>			<p align="center">\$4664.00</p>

**Schedule B-IE-2
PAYMENTS AND OBLIGATIONS**

- Please indicate the date, payee, expenditure type, and amount of each expenditure.
- If you are reporting an agreement or obligation to make a future payment, please check (✓) the box next to the expenditure type.

Expenditure Types				
LIT	Printing and graphics (flyers, signs, palmcards, etc.)	PRT	Print media ads only (newspapers, magazines)	
MHS	Mail house (all services purchased)	RAD	Radio ads, production costs	
PHO	Phone banks, automated telephone calls	TVN	TV or cable ads, production costs	
POL	Polling and research survey	WEB	Website design, registration, hosting, maintenance, etc.	
POS	Postage for U.S. Mail and mail box fees	OTH	Other (include description)	
Date of Expenditure	Payee, Address, Zip Code	Expenditure Type	✓	Amount
9/26/19	TCV GROUP LLC 201 US Route 1 #131 Scarborough ME 04074	OTH Facebook ads		\$4664.00
A. Expenditures for this page ⇒				\$4664.00
B. Total for all other Schedule B-IE-2 pages (if any) ⇒				
C. Total independent expenditures for this reporting period (A+B). <i>This amount should equal the total amount for all candidates listed on Schedule B-IE-1.</i> ⇒				\$4664.00

INDEPENDENT EXPENDITURE REPORT – NOVEMBER 5, 2019 MUNICIPAL ELECTION

Name of Person/Committee Making Expenditure(s): UNITE PORTLAND

Mailing Address: PO BOX 5200

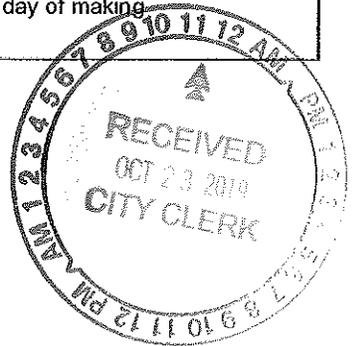
City, State, Zip Code: PORTLAND MAINE 04101 Telephone: _____

Please check the appropriate box for the report you are filing and complete the notarized affidavit and attached schedules. Reports must be filed on Saturday or Sunday. If that is when they are due, please contact the municipal clerk's officer for the procedure for filing by fax or e-mail. The municipal clerk must receive the signed original report within 5 days after the preliminary report was filed.

Check here if this report is an amendment to a previously filed report. Date of original report: _____

Independent Expenditures of more than \$250 per candidate must reported according to the schedule below.

Report	Expenditure Made	Report Due Date
<input type="checkbox"/> 60-Day Pre-Election Report	On or before September 5, 2019	September 6, 2019
<input checked="" type="checkbox"/> 2-Day Report	Between September 6 - October 22, 2019	Within 2 calendar days of making the expenditure
<input type="checkbox"/> 1-Day Report	Between October 23 - November 5, 2019	Within 1 calendar day of making the expenditure



I CERTIFY THAT THE INFORMATION IN THIS REPORT IS TRUE, CORRECT AND COMPLETE.

Dorcy-Ann Richards Waxman
 Signature of PAC or Party Treasurer, or
 Other Authorized Person Making Expenditure(s)

Oct 22 2019
 Date

Dorcy - Ann Richards Waxman

INDEPENDENT EXPENDITURE REPORT – 2019 MUNICIPAL ELECTION

AFFIDAVIT

STATE OF Maine

COUNTY OF Cumberland

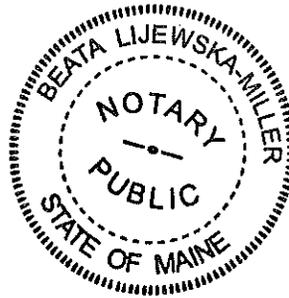
I, Dory-Ann Richards Waxman, being duly sworn, attest that I made each of the expenditures listed in the attached report independently, and not in cooperation, consultation, or concert with, or at the request or suggestion of, any candidate, authorized committee or agent of a candidate in a race affected by any expenditure listed in this report.

Dory-Ann Richards Waxman
Signature of Affiant

Sworn to before me, this 22nd day of October 2019

C. J. [Signature]
(Notary Public/Attorney at Law)

My commission expires: August 1st 2021



**Schedule B-IE-1
CANDIDATE(S) SUPPORTED/OPPOSED**

- Please list all candidates that were the subjects of independent expenditures.
- If more than one candidate was the subject of the expenditure, allocate the expenditure among the candidates.

Office sought by candidate (including district # or county)	Candidate's Name	Indicate whether expenditure was made in support of or in opposition to the candidate	Amount expended this reporting period for each candidate
MAYOR	ETHAN STRIMLING	OPPOSITION	\$15,000.00
Total expenditures for all candidates this reporting period. This amount should equal the total independent expenditures listed on Schedule B-IE-2, Line C. ⇒			\$15,000.00

**Schedule B-IE-2
PAYMENTS AND OBLIGATIONS**

- Please indicate the date, payee, expenditure type, and amount of each expenditure.
- If you are reporting an agreement or obligation to make a future payment, please check (✓) the box next to the expenditure type.

Expenditure Types				
LIT	Printing and graphics (flyers, signs, palmcards, etc.)	PRT	Print media ads only (newspapers, magazines)	
MHS	Mail house (all services purchased)	RAD	Radio ads, production costs	
PHO	Phone banks, automated telephone calls	TVN	TV or cable ads, production costs	
POL	Polling and research survey	WEB	Website design, registration, hosting, maintenance, etc.	
POS	Postage for U.S. Mail and mail box fees	OTH	Other (include description)	
Date of Expenditure	Payee, Address, Zip Code	Expenditure Type	✓	Amount
10/21/19	TCV GROUP LLC 201 US Route 1 #131 Scarborough ME 04074	OTH Facebook ads		\$5000.00
10/21/19	TCV GROUP LLC 201 US Route 1 #131 Scarborough ME 04074	OTH Online ad production		\$10,000.00
A. Expenditures for this page ⇒				\$15,000.00
B. Total for all other Schedule B-IE-2 pages (if any) ⇒				
C. Total independent expenditures for this reporting period (A+B). This amount should equal the total amount for all candidates listed on Schedule B-IE-1. ⇒				\$15,000.00

UNITE PORTLAND



October 15, 2019

Katherine Jones, City Clerk
389 Congress Street
Room 203
Portland, ME 04101

Dear Kathy,

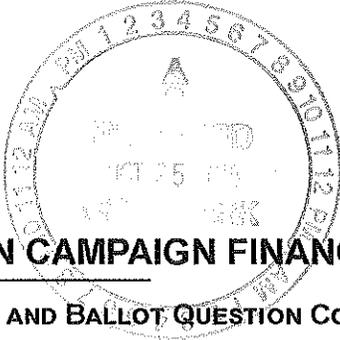
Enclosed is our amended October Quarterly finance report. There were a few categorization errors in our original submission, and we have provided further detail on expenditures to clarify the specific online advertising medium we used:

- Schedule A:
 - o Recategorized donation from Tom Watson and Company as a commercial source;
 - o Updated employer/occupation information for Rachel Alfond;
 - o Removed partner names from several donations;
- Schedule A1:
 - o Added an in-kind expenditure for use of post office box;
- Schedule B:
 - o Moved expenditures from Schedule B1 (Operating Expenses) to Schedule B;
 - o Separated vendor payments to detail purchases for website domain and online advertising;

In reference to questions about organizational decision making, our initial registration addressed this appropriately. Unite Portland has a strong base of volunteer support, and there are many Portlanders who have stepped up to provide insight and voice concerns about the future of our city and this mayoral campaign. We also retain a vendor who provides media production and ad placement services. At the end of the day, both our volunteers and our vendors serve at my direction, as the decision maker for the PAC.

Sincerely,

Dory Waxman
Unite Portland



Melissa Caiazzo
 Election Administrator - City of Portland
 389 Congress Street, Room 203
 Portland, ME 04101
 mcaiazzo@portlandmaine.gov
 P: 207-756-8102 | F: 207-874-8612

2019 NOVEMBER ELECTION CAMPAIGN FINANCE REPORT

FOR POLITICAL ACTION COMMITTEES AND BALLOT QUESTION COMMITTEES

Please complete ALL entries.

NAME OF COMMITTEE	UNITE PORTLAND			<input type="checkbox"/> CHECK IF CHANGED FROM PREVIOUS REPORT
STREET	PO BOX 5200			
CITY, STATE, ZIP CODE	PORTLAND 04101	TELEPHONE NUMBER	(207) 332-4634	
E-MAIL	N/A			
NAME OF TREASURER	ELLIOT VRANA			<input type="checkbox"/> CHECK IF CHANGED FROM PREVIOUS REPORT
MAILING ADDRESS STREET	PO BOX 8778			
CITY, STATE, ZIP CODE	PORTLAND 04104	TELEPHONE NUMBER	(207) 441-1433	
E-MAIL	elliott.vrana@gmail.com			

<u>Type of Report</u>	<u>Due Date</u>	<u>Dates of Report Period</u>
<input type="checkbox"/> Initial	Date of Registration	Beginning of campaign (BQC) or Beginning of year (PAC) — date of registration
<input type="checkbox"/> April Quarterly	April 10, 2019	January 1, 2019 — March 31, 2019
<input type="checkbox"/> October Quarterly	October 5, 2019	July 17, 2019 — September 30, 2019
<input checked="" type="checkbox"/> 11-Day Pre-Election	October 25, 2019	October 1, 2019 — October 22, 2019
<input type="checkbox"/> 42-Day Post-Election	December 17, 2019	October 23, 2019 — December 10, 2019
<input type="checkbox"/> January Quarterly	January 15, 2020	December 11, 2019 — December 31, 2019
<input type="checkbox"/> Amendment to: _____		
<input type="checkbox"/> No Activity Report: Use only if the committee had no contributions and no expenditures and did not incur any unpaid debts or obligations during the reporting period. Check the appropriate report above as well.		
<input type="checkbox"/> Termination Report: If the committee will have no further activity. Check the appropriate report above as well.		

I CERTIFY THAT I HAVE EXAMINED THIS REPORT AND TO THE BEST OF MY KNOWLEDGE IT IS TRUE, CORRECT, AND COMPLETE.

10/25/2019

Treasurer's Signature

Date

**SCHEDULE A
CASH CONTRIBUTIONS**

- For contributors who gave more than \$50, the committee must report the contributor's name, address, occupation, and employer.
- If employment information has been requested from the contributor and the contributor has not provided it, indicate "information requested" for the occupation and employer.
- For cash contributions totaling \$50 or less, please enter "unitemized contributions" as the contributor and the total amount and the appropriate key code on a line on this page. Once a contributor has given the committee more than \$50 in a report period, you must list that contributor separately.

DATE RECEIVED	CONTRIBUTOR'S NAME, ADDRESS, ZIP CODE	OCCUPATION AND EMPLOYER	TYPE (use key code)	AMOUNT
10/11/2019	TOM WATSON AND CO 188 STATE STREET SUITE 303 PORTLAND, ME 04101		3	\$2,000
10/11/2019	DAVID LAKARI 244 COTTAGE ROAD SOUTH PORTLAND, ME 04106	SELF REAL ESTATE	1	250
10/11/2019	OLIVER SMITH PO BOX 10380 PORTLAND, ME 04104	CONSULTANT O & CO, INC.	1	300
10/11/2019	RICHARD SAUNDERS 33 ORLAND STREET PORTLAND, ME 04103	DEPUTY DIRECTOR SDCC	1	250
10/11/2019	JOSEPH GRAY 263 STATE STREET PORTLAND, ME 04101	RETIRED	1	150
10/11/2019	JOHN WATSON PO BOX 807 YORK HARBOR, ME 03911	RETIRED	1	3,000
Total cash contributions (this page only) ⇒ (combined totals from all Schedule A pages must be listed on Schedule F)				\$5,950

Key Codes:

- | | |
|--------------------------------|--|
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| 4 = Non Profit Organization | 10 = General Treasury Transfer |
| 5 = Political Action Committee | 13 = Contributors giving \$100 or Less |
| 6 = Political Party Committee | 16 = Financial Institution |

**SCHEDULE A (continued)
CASH CONTRIBUTIONS**

DATE RECEIVED	CONTRIBUTOR'S NAME, ADDRESS, ZIP CODE	OCCUPATION AND EMPLOYER	TYPE (use key code)	AMOUNT
10/11/2019	BRITON VITALIUS 48 SOUTH STREET YARMOUTH, ME 04096	PRINCIPAL VITALIUS REAL ESTATE GROUP	1	\$1,500
10/11/2019	TIMOTHY O'DONOVAN 30 LEDGEWOOD DRIVE FALMOUTH, ME 04105	PRINCIPAL ICE HOUSE, LLC	1	1,000
10/11/2019	CRAIG FOSTER 90 CAROLL STREET PORTLAND, ME 04103	REAL ESTATE SELF	1	1,000
10/11/2019	BRIAN ENG 33 STORER STREET PORTLAND, ME 04102	REAL ESTATE SELF	1	350
10/21/2019	CAPITAL SERVICING, INC. 50 PORTLAND PIER SUITE 400 PORTLAND, ME 04101		3	3,500
10/21/2019	CALEB JOHNSON ARCHITECTS 110 EXCHANGE STREET PORTLAND, ME 04101		3	100
10/21/2019	GREG SHINBERG 37 BEECH HILL ROAD FREEPORT, ME 04032	CONSULTANT REAL ESTATE CONSTRUCTION	1	100
10/21/2019	DAVID WARREN 178 PLEASANT AVENUE PORTLAND, ME 04103	ATTORNEY VERRILL DANA, LLP	1	100
Total cash contributions (this page only) => (combined totals from all Schedule A pages must be listed on Schedule F)				\$7,650

Key Codes:

- | | |
|--------------------------------|--|
| 1 = Individuals | 7 = Ballot Question Committee |
| 3 = Commercial Source | 9 = Candidate/Candidate Committees |
| 4 = Non Profit Organization | 10 = General Treasury Transfer |
| 5 = Political Action Committee | 13 = Contributors giving \$100 or Less |
| 6 = Political Party Committee | 16 = Financial Institution |

**SCHEDULE A (continued)
CASH CONTRIBUTIONS**

DATE RECEIVED	CONTRIBUTOR'S NAME, ADDRESS, ZIP CODE	OCCUPATION AND EMPLOYER	TYPE (use key code)	AMOUNT
10/21/2019	ANDREW BUTCHER 380 DANFORTH STREET PORTLAND, ME 04102	CONSULTANT FOURTH ECONOMY CONSULTING	1	\$500
10/24/2019	SHANNON RICHARDS PO BOX 4514 PORTLAND, ME 04112	REAL ESTATE BROKER HAYRUNNER	1	50
Total cash contributions (this page only) =>				\$550
(combined totals from all Schedule A pages must be listed on Schedule F)				

Key Codes:

- 1 = Individuals
- 3 = Commercial Source
- 4 = Non Profit Organization
- 5 = Political Action Committee
- 6 = Political Party Committee
- 7 = Ballot Question Committee
- 9 = Candidate/Candidate Committees
- 10 = General Treasury Transfer
- 13 = Contributors giving \$100 or Less
- 16 = Financial Institution

**SCHEDULE B
EXPENDITURES TO SUPPORT OR OPPOSE**

- Enter all expenditures made to support or oppose a ballot question, referendum, or citizen initiative, including those in signature-gathering phase.
- Enter all expenditures, including cash contributions from this committee, made to support or oppose a candidate, political action committee, ballot question committee, or party committee.
- Enter the name of the candidate, ballot question, or committee supported or opposed by the expenditure and indicate whether the expenditure was made in support or opposition.

If a single expenditure is made to support or oppose multiple candidates, committees, or ballot questions, the expenditure must be itemized by the amount spent per candidate, committee, or question, not as a single expenditure, and each candidate, committee, or ballot question must be identified.

EXPENDITURE TYPES

CON	Contribution to candidate, party or committee	POL	Polling and survey research
CNS	Campaign consultants	POS	Postage for U.S. Mail and mail box fees
EQP	Equipment (office machines, furniture, cell phones)	PRO	Professional services
FND	Fundraising events	PRT	Print media ads only (newspapers, magazines, etc.)
FOD	Food for campaign events, volunteers	RAD	Radio ads, production costs
LIT	Printing and graphics (flyers, signs, palmcards, t-shirts, etc.)	SAL	Campaign workers' salaries and personnel costs
MHS	Mail house (all services purchased)	TRV	Travel (fuel, mileage, lodging, etc.)
OFF	Office rent, utilities, phone and internet services, supplies	TVN	TV or cable ads, production costs
OTH	Other	WEB	Website design, registration, hosting, maintenance, etc.)
PHO	Phone banks, automated telephone calls		

! REMARKS REQUIRED ON ALL EXPENDITURE TYPES!

Date: 10/21/2019	Payee Name and Address: THE TCV GROUP, LLC 201 US ROUTE ONE #131 SCARBOROUGH, ME 04074	Amount
Type: OTH	Remarks (Required): ONLINE AD PRODUCTION	\$10,000
<input type="checkbox"/> Support <input checked="" type="checkbox"/> Oppose	Ballot Question/Issue: ETHAN STRIMLING FOR MAYOR	
Date: 10/21/2019	Payee Name and Address: FACEBOOK VIA TCV GROUP, LLC 1 HACKER WAY 201 US ROUTE ONE #131 MENLO PARK CA, 94025 SCARBOROUGH, ME 04074	Amount
Type: OTH	Remarks (Required): FACEBOOK ADVERTISING	\$5,000
<input type="checkbox"/> Support <input checked="" type="checkbox"/> Oppose	Ballot Question/Issue: ETHAN STRIMLING FOR MAYOR	
Total expenditures this page only => (combined totals from all Schedule B pages must be listed on Schedule F)		\$15,000

**SCHEDULE F
SUMMARY SCHEDULE**

CASH ACTIVITY

Receipts	Total for this Period
1. Cash Contributions (Schedule A)	\$14,150
2. Other Cash Receipts (interest, etc.)	-
3. Loans (Schedule C)	-
4. Total Receipts (lines 1 + 2 + 3)	\$14,150
Expenditures	Total for this Period
5. Expenditures to Support or Oppose (Schedule B)	\$15,000
6. Loan Repayment (Schedule C)	-
7. Total Payments (lines 5 + 6)	\$15,000

CASH SUMMARY

	Total for This Period
8. Cash Balance at Beginning of Period	\$4,286
9. Plus Total Receipts This Period (line 4 above)	14,150
10. Minus Total Payments This Period (line 7 above)	(15,000)
11. Cash Balance at End of Period	\$3,436

OTHER ACTIVITY

	Total for This Period
12. In-Kind Contributions (Schedule A-1)	\$ -
13. Total Loan Balance at End of Period (Schedule C)	-
14. Total Unpaid Debts at End of Period (Schedule D)	\$ -



Melissa Caiazzo
 Election Administrator - City of Portland
 389 Congress Street, Room 203
 Portland, ME 04101
 mcaiazzo@portlandmaine.gov
 P: 207-756-8102 | F: 207-874-8612

2019 NOVEMBER ELECTION CAMPAIGN FINANCE REPORT

FOR POLITICAL ACTION COMMITTEES AND BALLOT QUESTION COMMITTEES

Please complete ALL entries.

NAME OF COMMITTEE	UNITE PORTLAND			<input type="checkbox"/> CHECK IF CHANGED FROM PREVIOUS REPORT
STREET	PO BOX 5200			
CITY, STATE, ZIP CODE	PORTLAND 04101	TELEPHONE NUMBER	(207) 332-4634	
E-MAIL	N/A			
NAME OF TREASURER	ELLIOT VRANA			<input type="checkbox"/> CHECK IF CHANGED FROM PREVIOUS REPORT
MAILING ADDRESS STREET	PO BOX 8778			
CITY, STATE, ZIP CODE	PORTLAND 04104	TELEPHONE NUMBER	(207) 441-1433	
E-MAIL	elliott.vrana@gmail.com			

Type of Report	Due Date	Dates of Report Period
<input type="checkbox"/> Initial	Date of Registration	Beginning of campaign (BQC) or Beginning of year (PAC) — date of registration
<input type="checkbox"/> April Quarterly	April 10, 2019	January 1, 2019 — March 31, 2019
<input type="checkbox"/> October Quarterly	October 5, 2019	July 17, 2019 — September 30, 2019
<input type="checkbox"/> 11-Day Pre-Election	October 25, 2019	October 1, 2019 — October 22, 2019
<input checked="" type="checkbox"/> 42-Day Post-Election	December 17, 2019	October 23, 2019 — December 10, 2019
<input type="checkbox"/> January Quarterly	January 15, 2020	December 11, 2019 — December 31, 2019
<input type="checkbox"/> Amendment to: _____		
<input type="checkbox"/> No Activity Report: Use only if the committee had no contributions and no expenditures and did not incur any unpaid debts or obligations during the reporting period. Check the appropriate report above as well.		
<input type="checkbox"/> Termination Report: If the committee will have no further activity. Check the appropriate report above as well.		

I CERTIFY THAT I HAVE EXAMINED THIS REPORT AND TO THE BEST OF MY KNOWLEDGE IT IS TRUE, CORRECT, AND COMPLETE.

12/17/2019

Treasurer's Signature

Date

**SCHEDULE A
CASH CONTRIBUTIONS**

- For contributors who gave more than \$50, the committee must report the contributor's name, address, occupation, and employer.
- If employment information has been requested from the contributor and the contributor has not provided it, indicate "information requested" for the occupation and employer.
- For cash contributions totaling \$50 or less, please enter "unitemized contributions" as the contributor and the total amount and the appropriate key code on a line on this page. Once a contributor has given the committee more than \$50 in a report period, you must list that contributor separately.

DATE RECEIVED	CONTRIBUTOR'S NAME, ADDRESS, ZIP CODE	OCCUPATION AND EMPLOYER	TYPE (use key code)	AMOUNT
10/25/2019	TOM WATSON AND CO 188 STATE STREET SUITE 303 PORTLAND, ME 04101		3	\$1,500
10/29/2019	SPRING STREET WEST CORP c/o MITTEL ASEN, LLC 85 EXCHANGE STREET PORTLAND, ME 04101		3	5,000
10/31/2019	LOT 5 LIBERTY, LLC PO BOX 910 WESTBROOK, ME 04098		3	5,000
10/31/2019	PORTA AND CO 30 MILK STREET SUITE 205 PORTLAND, ME 04101		3	1,000
Total cash contributions (this page only) =>				\$12,500
(combined totals from all Schedule A pages must be listed on Schedule F)				

Key Codes:

- | | |
|--------------------------------|--|
| 1 = Individuals | 7 = Ballot Question Committee |
| 3 = Commercial Source | 9 = Candidate/Candidate Committees |
| 4 = Non Profit Organization | 10 = General Treasury Transfer |
| 5 = Political Action Committee | 13 = Contributors giving \$100 or Less |
| 6 = Political Party Committee | 16 = Financial Institution |

**SCHEDULE B
EXPENDITURES TO SUPPORT OR OPPOSE**

- Enter all expenditures made to support or oppose a ballot question, referendum, or citizen initiative, including those in signature-gathering phase.
- Enter all expenditures, including cash contributions from this committee, made to support or oppose a candidate, political action committee, ballot question committee, or party committee.
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EXPENDITURE TYPES			
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OFF	Office rent, utilities, phone and internet services, supplies	TVN	TV or cable ads, production costs
OTH	Other	WEB	Website design, registration, hosting, maintenance, etc.)
PHO	Phone banks, automated telephone calls		

REMARKS REQUIRED ON ALL EXPENDITURE TYPES!

Date: 11/14/2019	Payee Name and Address: THE TCV GROUP, LLC 201 US ROUTE ONE #131 SCARBOROUGH, ME 04074	Amount
Type: CNS	Remarks (Required): CAMPAIGN CONSULTING	\$10,000
<input type="checkbox"/> Support <input checked="" type="checkbox"/> Oppose	Ballot Question/Issue: ETHAN STRIMLING FOR MAYOR	
Date:	Payee Name and Address:	Amount
Type:	Remarks (Required):	
<input type="checkbox"/> Support <input type="checkbox"/> Oppose	Ballot Question/Issue:	
Total expenditures this page only => (combined totals from all Schedule B pages must be listed on Schedule F)		\$10,000

**SCHEDULE F
SUMMARY SCHEDULE**

CASH ACTIVITY

Receipts	Total for this Period
1. Cash Contributions (Schedule A)	\$12,500
2. Other Cash Receipts (interest, etc.)	-
3. Loans (Schedule C)	-
4. Total Receipts (lines 1 + 2 + 3)	\$12,500
Expenditures	Total for this Period
5. Expenditures to Support or Oppose (Schedule B)	\$10,000
6. Loan Repayment (Schedule C)	-
7. Total Payments (lines 5 + 6)	\$10,000

CASH SUMMARY

	Total for This Period
8. Cash Balance at Beginning of Period	\$3,436
9. Plus Total Receipts This Period (line 4 above)	12,500
10. Minus Total Payments This Period (line 7 above)	(10,000)
11. Cash Balance at End of Period	\$5,936

OTHER ACTIVITY

	Total for This Period
12. In-Kind Contributions (Schedule A-1)	\$ -
13. Total Loan Balance at End of Period (Schedule C)	-
14. Total Unpaid Debts at End of Period (Schedule D)	\$ -