

COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES  
 Mail: 135 State House Station, Augusta, Maine 04333  
 Office: 45 Memorial Circle, 2<sup>nd</sup> floor, Augusta, Maine

Website: [www.maine.gov/ethics](http://www.maine.gov/ethics)  
 Phone: 207-287-4179  
 Fax: 207-287-6775

## REGISTRATION: POLITICAL ACTION COMMITTEE

A political action committee must register with the Commission within 7 days of making expenditures to initiate or influence a campaign or election, including unpaid obligations, that total more than:

- \$1,500 for an organization whose major purpose is to influence candidate or ballot question elections, or
- \$5,000 for organizations which participate in candidate elections but whose major purpose is something other than influencing candidate elections.

Registration is not complete until the following additional documents have been submitted:

- **Initial Campaign Finance Report.** All contributions received, whether cash or in-kind, and all expenditures made from the beginning of the calendar year must be reported. Be sure to include any expenditures associated with the collection of signatures, paid staff time, travel reimbursement, and fundraising.
- **Acknowledgement of Responsibilities.** The Treasurer, Principal Officer and any Decision-Makers must sign and return the statement within 10 days of the date of this registration.

Check here if this an amendment

COMMITTEE INFORMATION	
Committee name <i>Portland Professional Fire Fighters</i>	Acronym
Mailing address <i>36 Poland St.</i>	Phone <i>207-756-8375</i>
City, zip code <i>Portland ME 04103</i>	Fax
E-mail <i>Local740@portlandmaine.gov</i>	Website
ALTERNATE E-MAIL ADDRESSES	
To receive filing reminders and important information from the Commission. These addresses will not be posted online.	
<sup>1.</sup> <i>JBrooks67@live.com</i>	<sup>2.</sup> <i>PFD228@aol.com</i>
TREASURER INFORMATION	
Cannot be the same as the Principal Officer. See Acknowledgement of Responsibilities for duties of Treasurer.	
Name <i>Gail Dennison-Hale</i>	Primary Phone - For Commission Use Only <i>207-756-8375</i>
Mailing address <i>36 Poland St.</i>	Public Phone
City, zip code <i>Portland ME 04103</i>	E-mail <i>PFD228@aol.com</i>
PRINCIPAL OFFICER INFORMATION	
Cannot be the same as the Treasurer. See Acknowledgement of Responsibilities for duties of Principal Officer.	
Name <i>John Brooks</i>	Phone <i>207-671-1248</i>
Mailing address <i>6 Bartley Ave</i>	
City, zip code <i>Portland ME 04103</i>	E-mail <i>JBrooks67@live.com</i>

**DECISION-MAKERS**

List all persons, other than the Treasurer or Principal Officer, primarily responsible for making decisions for the PAC.

 There are no Decision-Makers other than the Treasurer and Principal Officer.

Name	Philip LaRou	Primary Phone - For Commission Use Only	207-939-7374
Mailing address	31 Oakwood Drive	Public Phone	207-756-8375
City, state, zip code	So Portland 04106	E-mail	Pfire175@yahoo.com

Name		Primary Phone - For Commission Use Only	
Mailing address		Public Phone	
City, state, zip code		E-mail	

**FUNDRAISERS**

List all persons, other than the Treasurer or Principal Officer, primarily responsible for fundraising for the PAC.

 There are no Primary Fundraisers other than the Treasurer and Principal Officer.

Name		Phone	
Mailing address			
City, state, zip code		E-mail	

Name		Phone	
Mailing address			
City, state, zip code		E-mail	

**LEGISLATORS OR CANDIDATES WITH SIGNIFICANT ROLE IN PAC**

List all Candidates or Legislators with a significant fundraising or decision-making role with the PAC.

 No Candidates or Legislators have a significant fundraising or decision-making role with the PAC.

Name and office sought/held		Phone	
Mailing address			
City, state, zip code		E-mail	

Name and office sought/held		Phone	
Mailing Address			
City, state, zip code		E-mail	

**FORM OF ORGANIZATION**

Name the form or structure of organization, i.e., for-profit or non-profit corporation, voluntary association, partnership, membership organization, etc.

Form of organization

membership

Date of origin/incorporation

12-17-1942

**SPONSOR ORGANIZATIONS**

List all for-profit or non-profit corporations or other organizations that formed this committee. Use additional sheets as necessary.

This committee was not formed by a for-profit or non-profit corporation or other organization.

Name

Portland Fire Fighters Association Local 740

Mailing address, city, state, zip code

36 Poland St. Portland ME 04103

Name

Mailing address, city, state, zip code

**CAMPAIGN ACCOUNT INFORMATION**

For the account into which contributions will be deposited and from which expenditures will be made.

Name of financial institution

Mailing address, city, state, zip code

Name of account

**STATEMENT OF SUPPORT OR OPPOSITION**

The committee must list the specific candidates or categories of candidates, referenda, initiated petitions, people's vetoes, or other campaigns that the committee supports or opposes. If the committee is formed to influence the election of a single candidate, the name of the candidate must be listed.

Support

Ethan Stremling for Mayor

Oppose

**SIGNATURE OF PRINCIPAL OFFICER OR TREASURER**

The Treasurer, Principal Officer and any Decision-Makers must submit a signed Acknowledgement of Responsibilities.

Signature



Title

Principal Officer

Print name

John Brooks

Date

9-28-15



## ACKNOWLEDGMENT OF RESPONSIBILITIES — TREASURER

I, (print name) GAIL DENNISON HALE, acknowledge that I am the treasurer of PORTLAND PROFESSIONAL FIRE FIGHTERS, a political action committee registered with the Commission on Governmental Ethics and Election Practices (the "Commission"). I acknowledge that, as the treasurer of the committee:

(1) I am jointly responsible with the principal officer for ensuring that the committee complies with the requirements of Maine Election Law (21-A M.R.S., chapter 13) applicable to the committee. These requirements include but are not limited to:

- filing complete and accurate reports as required by the Commission;
- keeping all required records of contributions, expenditures and bank statements for the committee's campaign account; and
- updating the committee's registration information within 10 days of any change, including the resignation or removal of the principal officer or a decision-maker and filing an updated registration with the Commission by March 1<sup>st</sup> of every year in which there is a general election.

(2) I am jointly and severally liable with the principal officer and the committee for any penalties assessed against the committee for violations of Maine Election Law (21-A M.R.S., chapters 13 and 14).

(3) I am deemed to have participated in any spending decisions of the committee until the Commission has received notice of my resignation or involuntary removal from the committee.

(4) I am responsible for notifying the Commission and the committee's principal officer in writing if I resign from the position of treasurer and that my resignation will not be effective until the Commission receives such notice.

(5) The committee is responsible for notifying the Commission if the principal officer or a decision-maker is involuntarily removed from the committee.

I have read this acknowledgment and understand my responsibilities and liabilities as treasurer.

GAIL DENNISON HALE

Signature

28 SEPT 2015

Date



**ACKNOWLEDGMENT OF RESPONSIBILITIES — PRINCIPAL OFFICER**

I, (print name) John Brooks, acknowledge that I am the principal officer of Portland Professional Fire Fighters, a political action committee registered with the Commission on Governmental Ethics and Election Practices (the "Commission"). I acknowledge that, as the principal officer of the committee:

(1) I am jointly responsible with the treasurer for ensuring that the committee complies with the requirements of Maine Election Law (21-A M.R.S., chapter 13) applicable to the committee. These requirements include but are not limited to:

- filing complete and accurate reports as required by the Commission;
- ensuring that the treasurer of the committee keeps all required records of contributions, expenditures and bank statements for the committee's campaign account; and
- updating the committee's registration information within 10 days of any change, including the resignation or removal of the treasurer or a decision-maker and filing an updated registration with the Commission by March 1<sup>st</sup> of every year in which there is a general election.

(2) I am jointly and severally liable with the treasurer and the committee for any penalties assessed against the committee for violations of Maine Election Law (21-A M.R.S., chapters 13 and 14).

(3) I am deemed to have participated in any spending decisions of the committee until the Commission has received notice of my resignation or involuntary removal from the committee.

(4) I am responsible for notifying the Commission and the committee's treasurer in writing if I resign from the position of principal officer and that my resignation will not be effective until the Commission receives such notice.

(5) The committee is responsible for notifying the Commission if the treasurer or a decision-maker is involuntarily removed from the committee.

I have read this acknowledgment and understand my responsibilities and liabilities as principal officer.

John Brooks  
Signature

9-28-15  
Date

Failure to submit the Acknowledgment of Responsibilities may result in a fine of \$100.



COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES  
Mail: 135 State House Station, Augusta, Maine 04333  
Office: 45 Memorial Circle, 2<sup>nd</sup> floor, Augusta, Maine

Website: [www.maine.gov/ethics](http://www.maine.gov/ethics)  
Phone: 207-287-4179  
Fax: 207-287-6775

## ACKNOWLEDGMENT OF RESPONSIBILITIES — DECISION-MAKER

---

I, (print name) Phillip J. LaRou, acknowledge that I am a decision-maker of Portland Professional Firefighters, a political action committee registered with the Commission on Governmental Ethics and Election Practices (the "Commission"). I acknowledge that, as a decision-maker of the committee:

(1) I am deemed to have participated in any spending decisions of the committee until the Commission has received notice of my resignation or involuntary removal from the committee.

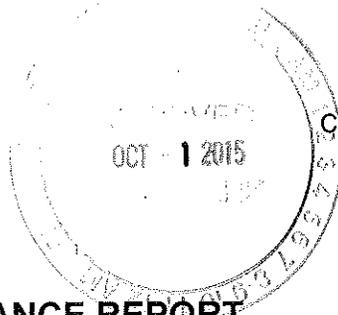
(2) I am responsible for notifying the Commission and the committee in writing if I resign from the position of decision-maker and that my resignation will not be effective until the Commission receives such notice.

I have read this acknowledgment and understand my responsibilities as a decision-maker.

  
Signature

Sept. 28th 2015  
Date

Failure to submit the Acknowledgment of Responsibilities may result in a fine of \$100.



COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES  
 Mail: 135 State House Station, Augusta, Maine 04333  
 Office: 45 Memorial Circle, Augusta, Maine

Website: www.maine.gov/ethics  
 Phone: 207-287-4179  
 Fax: 207-287-6775

## 2015 CAMPAIGN FINANCE REPORT

### FOR POLITICAL ACTION COMMITTEES

Please complete ALL entries.

NAME OF COMMITTEE	Portland Professional Fire Fighters			<input type="checkbox"/> CHECK IF CHANGED FROM PREVIOUS REPORT
STREET	36 Poland St.			
CITY AND ZIP CODE	Portland 04103	TELEPHONE NUMBER	207-756-8375	
E-MAIL	Local740@Portlandmaine.gov			
NAME OF TREASURER	GAIL DENNISON-HALE			<input type="checkbox"/> CHECK IF CHANGED FROM PREVIOUS REPORT
MAILING ADDRESS STREET	36 POLAND ST.			
CITY AND ZIP CODE	PORTLAND, MAINE 04103	TELEPHONE NUMBER	207-756-8375	
E-MAIL				

Type of Report	Due Date	Dates of Report Period
<input checked="" type="checkbox"/> Initial	Date of Registration	January 1, 2015— date of registration
<input type="checkbox"/> April Quarterly	April 10, 2015	January 1, 2015—March 31, 2015
<input type="checkbox"/> July Quarterly	July 15, 2015	April 1, 2015---June 30, 2015
<input type="checkbox"/> October Quarterly	October 5, 2015	July 1, 2015—September 30, 2015
<input type="checkbox"/> 11-Day Pre-General	October 23, 2015	October 1, 2015—October 20, 2015
<input type="checkbox"/> 42-Day Post-General	December 15, 2015	13 days prior to Election Day- 35 Days After Election Day
<input type="checkbox"/> January Quarterly	January 15, 2016	October 1 2015—December 31, 2015
<input type="checkbox"/> Amendment to: _____		
<input type="checkbox"/> No Activity Report: Use only if the committee had no contributions and no expenditures and did not incur any unpaid debts or obligations during the reporting period. Check the appropriate report above as well.		
<input type="checkbox"/> Termination Report: If the committee will have no further activity. Check the appropriate report above as well.		

I CERTIFY THAT I HAVE EXAMINED THIS REPORT AND TO THE BEST OF MY KNOWLEDGE IT IS TRUE, CORRECT, AND COMPLETE.

GAIL DENNISON-HALE

Treasurer's Signature

28 SEPT 2015

Date

**SCHEDULE A  
CASH CONTRIBUTIONS**

- For contributors who gave more than \$50, the committee must report the contributor's name, address, occupation, and employer.
- If employment information has been requested from the contributor and the contributor has not provided it, indicate "information requested" for the occupation and employer.
- For cash contributions totaling \$50 or less, please enter "unitemized contributions" as the contributor and the total amount and the appropriate key code on a line on this page. Once a contributor has given the committee more than \$50 in a report period, you must list that contributor separately.

DATE RECEIVED	CONTRIBUTOR'S NAME, ADDRESS, ZIP	OCCUPATION AND EMPLOYER	TYPE (use key code)	AMOUNT
9/28/15	Unitemized contributions		1	10
9/28/15	Unitemized contributions		1	20
<b>Total cash contributions (this page only) ⇒</b> <i>(combined totals from all Schedule A pages must be listed on Schedule F)</i>				<b>30</b>

Key Codes:

- |                                |                                       |
|--------------------------------|---------------------------------------|
| 1 = Individuals                | 7 = Ballot Question Committee         |
| 3 = Commercial Source          | 9 = Candidate/Candidate Committees    |
| 4 = Non Profit Organization    | 10 = General Treasury Transfer        |
| 5 = Political Action Committee | 12 = Contributors giving \$50 or Less |
| 6 = Political Party Committee  | 16 = Financial Institution            |

**SCHEDULE A (continued)  
CASH CONTRIBUTIONS**

DATE RECEIVED	CONTRIBUTOR'S NAME, ADDRESS, ZIP	OCCUPATION AND EMPLOYER	TYPE (use key code)	AMOUNT
Total cash contributions (this page only) ⇒ (combined totals from all Schedule A pages must be listed on Schedule F)				-0-

Key Codes:

- |                                |                                       |
|--------------------------------|---------------------------------------|
| 1 = Individuals                | 7 = Ballot Question Committee         |
| 3 = Commercial Source          | 9 = Candidate/Candidate Committees    |
| 4 = Non Profit Organization    | 10 = General Treasury Transfer        |
| 5 = Political Action Committee | 12 = Contributors giving \$50 or Less |
| 6 = Political Party Committee  | 16 = Financial Institution            |

PAC Name \_\_\_\_\_

**SCHEDULE A-1  
IN-KIND CONTRIBUTIONS**

- In-kind contributions are goods and services (including facilities) that a committee received at no cost or at a cost less than the fair market value. They include all goods and services purchased for the committee by others if the committee does not expect to reimburse the person who made the purchase.
- For contributors who gave more than \$50, the committee must report the contributor's name, address, occupation, and employer.
- If employment information has been requested from the contributor and the contributor has not provided it, indicate "information requested" for the occupation and employer.
- For contributions totaling \$50 or less, please enter "unitemized contributions" as the contributor and the total amount and the appropriate key code on a line on this page. Once a contributor has given the committee more than \$50 in a report period, you must list that contributor separately.

DATE RECEIVED	CONTRIBUTOR'S NAME, ADDRESS, ZIP	OCCUPATION AND EMPLOYER	DESCRIPTION (of goods, services, facilities, or discounts received)	TYPE (use key code)	VALUE (estimated fair market value)

**Total in-kind contributions (this page only) ⇒**  
*(combined totals from all Schedule A-1 pages must be listed on Schedule F)*

-0-

**Key Codes:**

- |                                |                                       |
|--------------------------------|---------------------------------------|
| 1 = Individuals                | 7 = Ballot Question Committee         |
| 3 = Commercial Source          | 9 = Candidate/Candidate Committees    |
| 4 = Non Profit Organization    | 10 = General Treasury Transfer        |
| 5 = Political Action Committee | 12 = Contributors giving \$50 or Less |
| 6 = Political Party Committee  | 16 = Financial Institution            |

**SCHEDULE B**

**EXPENDITURES TO SUPPORT OR OPPOSE**

- Enter all expenditures, including cash contributions from this committee, made to support or oppose a candidate, political action committee, ballot question committee, or party committee.
- Enter all expenditures made to support or oppose a ballot question, referendum, or citizen initiative, including expenditures made in the signature-gathering phase.
- Enter the name of the candidate, ballot question, or committee supported or opposed by the expenditure and indicate whether the expenditure was made in support or opposition.

If a single expenditure is made to support or oppose multiple candidates, committees, or ballot questions, the expenditure must be itemized by the amount spent per candidate, committee, or ballot question, not as a single expenditure, and each candidate, committee, or ballot question must be identified.

EXPENDITURE TYPES			
CON	Contribution to candidate, party or committee	POL	Polling and survey research
CNS	Campaign consultants	POS	Postage for U.S. Mail and mail box fees
EQP	Equipment (office machines, furniture, cell phones)	PRO	Professional services
FND	Fundraising events	PRT	Print media ads only (newspapers, magazines, etc.)
FOD	Food for campaign events, volunteers	RAD	Radio ads, production costs
LIT	Printing and graphics (flyers, signs, palmcards, t-shirts, etc.)	SAL	Campaign workers' salaries and personnel costs
MHS	Mail house (all services purchased)	TRV	Travel (fuel, mileage, lodging, etc.)
OFF	Office rent, utilities, phone and internet services, supplies	TVN	TV or cable ads, production costs
OTH	Other	WEB	Website design, registration, hosting, maintenance, etc.)
PHO	Phone banks, automated telephone calls		

*! Remark required for All expenditure types !*

DATE	PAYEE'S NAME AND ADDRESS	REMARKS (REQUIRED)	TYPE	AMOUNT
	Payment to support <input type="checkbox"/> or to oppose <input type="checkbox"/> :			
	Payment to support <input type="checkbox"/> or to oppose <input type="checkbox"/> :			
	Payment to support <input type="checkbox"/> or to oppose <input type="checkbox"/> :			
	Payment to support <input type="checkbox"/> or to oppose <input type="checkbox"/> :			

Total expenditures this page only ⇒  
(combined totals from all Schedule B pages must be listed on Schedule F)

- 0 -

**SCHEDULE B (continued)  
EXPENDITURES TO SUPPORT OR OPPOSE**

DATE	PAYEE'S NAME AND ADDRESS	REMARKS (REQUIRED)	TYPE	AMOUNT
	Payment to support <input type="checkbox"/> or to oppose <input type="checkbox"/> :			
	Payment to support <input type="checkbox"/> or to oppose <input type="checkbox"/> :			
	Payment to support <input type="checkbox"/> or to oppose <input type="checkbox"/> :			
	Payment to support <input type="checkbox"/> or to oppose <input type="checkbox"/> :			
	Payment to support <input type="checkbox"/> or to oppose <input type="checkbox"/> :			

Total expenditures this page only ⇒  
(combined totals from all Schedule B pages must be listed on Schedule F)

- 0 -



### SCHEDULE C LOANS AND REPAYMENTS

List all new and continuing loans that were unpaid at any time during this reporting period. If a loan amount is forgiven, the amount forgiven **must** also be entered as a contribution on Schedule A.

	COLUMN 1	COLUMN 2	COLUMN 3	COLUMN 4	COLUMN 5
LENDER'S NAME AND ADDRESS	LOAN BALANCE AT BEGINNING OF PERIOD	ACTIVITY THIS PERIOD (report amount and date)			LOAN BALANCE AT END OF PERIOD (1+2) - 3 - 4
		AMOUNT LOANED THIS PERIOD	AMOUNT REPAYD THIS PERIOD	AMOUNT FORGIVEN THIS PERIOD (Enter on Schedule A also)	
	-0-	DATE  AMOUNT	DATE  AMOUNT	DATE  AMOUNT	
		DATE  AMOUNT	DATE  AMOUNT	DATE  AMOUNT	
		DATE  AMOUNT	DATE  AMOUNT	DATE  AMOUNT	
		DATE  AMOUNT	DATE  AMOUNT	DATE  AMOUNT	
		DATE  AMOUNT	DATE  AMOUNT	DATE  AMOUNT	
		DATE  AMOUNT	DATE  AMOUNT	DATE  AMOUNT	
<b>Totals for each column ⇒</b>		Enter on Schedule F, Line 3	Enter on Schedule F, Line 7		Enter on Schedule F, Line 14

PAC Name \_\_\_\_\_

### SCHEDULE D UNPAID DEBTS AND OBLIGATIONS

- A debt or obligation is incurred if a committee places an order for a good or service without making a payment; makes a promise or agreement to pay for a good or service; signs a contract for a good or service; or receives delivery of a good or service for which the committee has not paid.
- This schedule is a list of all unpaid debts and obligations of the committee as of the end of this reporting period. When the obligation has been paid, the expenditure (i.e., the actual payment) must be reported on Schedule B or B-1.
- If the committee has not received a bill for goods or services, contact the vendor to obtain the amount owed. If it is impossible to verify the amount of the debt, enter an estimated amount and indicate that the amount is estimated in the purpose section.
- **If obligations from a previous campaign finance report still remain unpaid, you will need to continue to report them on this schedule until they have been paid in full.**

DATE OF OBLIGATION	CREDITOR'S NAME AND ADDRESS	PURPOSE	AMOUNT
<b>Total unpaid debts and obligations (this page only) ⇒</b> <i>(combined totals from all Schedule D pages must be listed on Schedule F)</i>			<span style="font-size: 2em;">- 0 -</span>

PAC Name \_\_\_\_\_

**SCHEDULE F  
SUMMARY SCHEDULE**

**CASH ACTIVITY**

Receipts	Total for this Period
1. Cash Contributions (Schedule A)	30.00
2. Other Cash Receipts (interest, etc.)	
3. Loans (Schedule C)	
4. Total Receipts (lines 1 + 2 + 3)	30.00
Expenditures	Total for this Period
5. Expenditures to Support or Oppose (Schedule B)	
6. Operating Expenditures (Schedule B-1)	
7. Loan Repayment (Schedule C)	
8. Total Payments (lines 5 + 6 + 7)	

**CASH SUMMARY**

	Total for This Period
9. Cash Balance at Beginning of Period	
10. Plus Total Receipts This Period (line 4 above)	
11. Minus Total Payments This Period (line 8 above)	
12. Cash Balance at End of Period	

**OTHER ACTIVITY**

	Total for This Period
13. In-Kind Contributions (Schedule A-1)	
14. Total Loan Balance at End of Period (Schedule C)	
15. Total Unpaid Debts at End of Period (Schedule D)	